

Privacy Notice – Deutsche Börse Visitors Center/Trading floor tour

Under this privacy notice (hereinafter the “Notice”), Deutsche Börse AG (hereinafter “We” or “Us”) informs you how We process your personal data in relation to our Visitor Center. Your personal data means any information relating to you (hereinafter “Personal Data”), such as name or contact details.

We pay special attention on the processing of Personal Data in accordance with the General Data Protection Regulation EU 2016/679 (“GDPR”) and applicable national data protection laws.

1 Controller

1.1 Our identity and contact details

We are:

Deutsche Börse AG
60485 Frankfurt am Main
Deutschland

Tel.: +49-(0) 69-2 11-0
Fax: +49-(0) 69-2 11-1 20 05
E-Mail: info@deutsche-boerse.com

1.2 Contact details of Our data protection officer

Our data protection officer is:

Data Protection Officer of the Deutsche Börse AG
Deutsche Börse AG
60485 Frankfurt am Main

or send an email to: dataprotection@deutsche-boerse.com

2 Purpose, Categories of Personal Data, Legal Basis and Retention

Your personal data will be used to enable your visit to our Visitor Center or to take part in the tours of the trading floor. We collect personal data when you voluntarily provide it to us, e.g. when you contact us or when you register to visit our Visitor Center.

2.1 Our Data Processing

We process the following categories of your Personal Data for the following purposes with the following legal basis and retention period:

2.1.1 Registration data Visitor Center/parquet floor tour (name; contact data, including e-mail address; possibly company), which you provided when registering for the respective event. Which data is collected in the various entry masks depends on the individual entry fields. Mandatory information fields will be marked accordingly. In the case of paid lectures, trading floor visits or trading floor tours, your invoice and payment data and, if desired, the Group's level of knowledge of the capital market will also be processed to adjust the tour to your knowledge. All other details are voluntary. If necessary, we may share your registration data (name; contact details) with the stock exchange bodies responsible for

granting access to the trading floor. These bodies will only use your registration data for the purpose of access control and are obliged to delete these data afterwards. The legal basis is Art. 6 para. 1 lit. (b) of the GDPR, which permits the processing of personal data for the purpose of fulfilling the contract. The period for which your personal data is stored by us is as long as is necessary to carry out our relationship with you. Insofar as We are obliged to store your personal data for a longer period of time in accordance with Art. 6 Para. 1 lit. (c) GDPR due to storage and documentation obligations under tax and commercial law, these periods shall apply;

2.1.2 Personal data in the context of communication such as name, address, telephone number or e-mail address for the purpose of you making direct contact with Us, answering to an inquiry. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in processing and answering your request. The period for which your Personal Data will be stored by Us is as long as necessary to achieve the purpose of your request, so until your request is fully processed and no further communication with you is required or desired. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.;

2.1.3 Special categories of personal data that We may obtain when you provide us with information about your health status in order to identify persons with disabilities or special dietary requirements during the event or visit to take these requirements into consideration for the visit/tour. If you do not provide such information about a disability or special dietary requirements, we will not be able to take appropriate action. The legal basis is Art. 6 para. 1 lit. (a) of the GDPR, which permits the processing of personal data based on your consent. The period for which your personal data is stored by us is as long as is necessary to conduct our relationship with you. If We are obliged to store your personal data for a longer period of time pursuant to Art. 6 para. 1 lit. (c) of the GDPR due to storage and documentation obligations under tax and commercial law, these periods shall apply.

2.2 Do you have to provide your Personal Data to Us?

The provision of your personal data is necessary to register as a visitor in our Visitor Center and/or to participate in the trading floor tour. This means that you are obliged to provide us with your personal data in the course of registration.

If you do not provide us with your personal data, you will not be able to visit the Visitor Center or participate in the trading floor tour. Visiting the Visitor Center and participating in the trading floor tour is only possible for registered visitors.

2.3 Do We make automated decisions on you?

We do not make any automated decisions excepts for automatic processing, including profiling, which produces legal effects concerning you or similarly significantly affects you.

3 Transfer of Personal Data to Third Parties

We will not transfer your Personal Data to third parties except such transfer is permitted by law or you have explicitly consented to the transfer.

We may transfer your Personal Data to public authorities where this is required by the applicable law (e.g. stock exchange law or securities trading law). A transfer of your Personal Data is also permitted if

there is a suspicion of a criminal offence or the abuse of the services offered on Our app. In this event, we shall be entitled to transfer your Personal Data to the criminal prosecution authority.

In order to make certain services available to you, we may need to share your personal data with some of our service partners. These include our registration and App provider.

Otherwise, your personal data will be stored exclusively in Our database and on Our servers, or on those of Our commissioned data processing providers. Our commissioned data processing providers process personal data exclusively within the European Union or an adequate data protection level will be provided by the use of appropriate safeguards in accordance with Article 44 et seq. of the GDPR.

We will only share your Personal Data with other controllers for their own purposes such as cooperation partners under the condition that you explicitly and voluntarily agreed to such transfer of your Personal Data; in this case, we will obtain your consent separately from this Notice.

Under these conditions, recipients of your personal data can be for example:

- public bodies and institutions in the presence of a legal or regulatory obligation (eg. financial authorities),
- other companies and service providers (processors) in the following areas:
 - Ticket system provider
 - Provider of the website
 - Registration supplier
 - print service provider
 - telecommunications operator
 - Settlement service provider
 - Financial institutions
 - Collection agency
 - Management consultancies and corporate and tax audit firms
 - Entities involved in the management of the parquet floor tour

4 Recordings by media on site and video surveillance

Media institutions and journalists will be present on site, among others in the trading room. These media institutions are reporting on daily stock market events with live streams and photographs.

Video surveillance by the Frankfurt/Main Chamber of Industry and Commerce and by Deutsche Börse AG are carried out at the location. Further information on this can be found on the notices on site or can be obtained at the entrance.

5 Your Rights

Under applicable data protection laws, you have rights

- of access to, rectification of, and/or erasure of your Personal Data;
- to restrict or object to its processing;
- to tell Us that you do not wish to receive marketing information; and
- (in some circumstances) to require certain of your Personal Data to be transferred to you or a third party, which you can exercise by contacting Us at the details set out at the beginning of this Notice.

To the extent Our processing of your Personal Data is based on your consent, you also have the right to withdraw your consent, without affecting the lawfulness of Our processing based on your consent before its withdrawal.

To exercise your rights, you can contact Us as set out in Section 1 above. You can also lodge a complaint about Our processing of your Personal Data with a data protection authority.

A list and contact details of local data protection authorities is available [here](#).

Status 09/2020

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